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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	TUC920030083US1	Total Pages	54	O
First Named Inventor or Application Identifier				
Scott M. Fry et al.				P-1064378
Express Mail Label No.		ER211528346US		

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1. Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)
2. Specification (Total Pages) 43
(Preferred arrangement set forth below)
 - Descriptive title of the Invention
 - Cross References to Related Application
 - Statement Regarding Fed sponsored R & D
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
3. Drawing(s) (35 USC 113) (Total Pages) 7
4. Oath or Declaration (Total Pages) 4
 - a. Newly executed (original or copy)
 - b. Copy from a prior application (37 CFR 1.63(d))
(for continuation /divisional with Box 17 completed)
 - i. **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
5. Incorporation by Reference (useable if Box 4b is checked)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

Assistant Commissioner for Patents
ADDRESS TO: Box Patent Application
Washington, DC 20231

6. Microfiche Computer Program (Appendix)
7. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. Computer Readable Copy
 - b. Paper Copy (identical to computer copy)
 - c. Statement verifying identify of above copies

ACCOMPANYING APPLICATION PARTS

8. Assignment Papers (cover sheet & document(s))
9. 37 CFR 3.73(b) Statement Power of Attorney
(when there is an assignee)
10. English Translation Document (if applicable)
11. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations
12. Preliminary Amendment
13. Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
14. Small Entity Statement filed in prior application, Status still proper and desired
15. Certified Copy of Priority Document(s)
if foreign priority is claimed
16. Other: Express Mail Certificate

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

Continuation Divisional Continuation-in-part (CIP) of prior application No.:

18. CORRESPONDENCE ADDRESS

Customer Number or Bar Code Label 36491
 Or Correspondence address below

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Assignee Name: INTERNATIONAL BUSINESS MACHINES CORPORATION**Assignee Residence:** Armonk, New York

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FEE TRANSMITTAL		<i>Complete If Known</i>	
		Application Number	Not yet assigned
		Filing Date	August 20, 2003
		First Named Inventor	Scott Milton Fry et al.
		Group Art Unit	
		Examiner Name	
TOTAL AMOUNT OF PAYMENT	\$ 1486	Attorney Docket Number	TUC920030083US1

METHOD OF PAYMENT (check one)		FEE CALCULATION (continued)								
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:		3. ADDITIONAL FEES								
Deposit Account Number: <u>09-0466</u>		Large Entity		Small Entity						
Deposit Account Name: <u>IBM CORPORATION</u>		Fee Code	Fee (\$)	Fee Code	Fee (\$)					
<input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17		<input type="checkbox"/> Charge the Issue Fee In 37 CFR at the Mailing of the Notice of Allowance	1051	130	2051	65	Surcharge - late filing fee or oath			
2. <input type="checkbox"/> Payment Enclosed:			1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet			
<input type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other			1053	130	2053	130	Non-English specification			
FEE CALCULATION						1812	2520	1812	2520	For filing a request for reexamination
1. FILING FEE						1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action
Large Entity Small Entity						1805	1840*	1805	1840*	Requesting publication of SIR after Examiner action
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description		Fee Paid				
1001	750	2001	375	Utility filing fee		<input type="checkbox"/> 750				
1002	330	2002	165	Design filing fee		<input type="checkbox"/>				
1003	520	2003	260	Plant filing fee		<input type="checkbox"/>				
1004	750	2004	375	Reissue filing fee		<input type="checkbox"/>				
1005	160	2005	80	Provisional filing fee		<input type="checkbox"/>				
SUBTOTAL (1)						<input type="checkbox"/> \$ 750				
2. CLAIMS						<input type="checkbox"/>				
Total Claims	<input type="checkbox"/> 40	-20 =	<input type="checkbox"/> 20	Extra <input type="checkbox"/> x <input type="checkbox"/> 18	= <input type="checkbox"/> 360	<input type="checkbox"/>				
Ind. Claims	<input type="checkbox"/> 7	- 3 =	<input type="checkbox"/> 4	<input type="checkbox"/> x <input type="checkbox"/> 84	= <input type="checkbox"/> 336	<input type="checkbox"/>				
Multiple Dep. Claims	<input type="checkbox"/>		<input type="checkbox"/> 0	<input type="checkbox"/> x <input type="checkbox"/> 280	= <input type="checkbox"/> 0	<input type="checkbox"/>				
Large Entity Small Entity						<input type="checkbox"/>				
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description		Fee Paid				
1202	18	2202	9	Claims in excess of 20		<input type="checkbox"/>				
1201	84	2201	42	Independent claims in excess of 3		<input type="checkbox"/>				
1203	280	2203	140	Multiple dependent claim		<input type="checkbox"/>				
SUBTOTAL (2)						<input type="checkbox"/> \$ 696				
*Reduced by Basic Filing Fee						SUBTOTAL (3)	<input type="checkbox"/> \$ 40			

SUBMITTED BY				Complete (if applicable)	
Typed or Printed Name	<u>Brian C. Kunzler</u>			Reg. Number	38,527
Signature	<u>Brian C. Kunzler</u>			Date	Aug 20, 2003
Deposit Account User ID					

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PATENT APPLICATION
Docket No.: TUC820030083US1

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

"Express Mail" Mailing Label No.: ER211528346US

Date of Deposit: August 20, 2003

I hereby certify that this patent application in the name of Scott Milton Fry, Mark Edward Hill, and David Lee Swanson for APPARATUS, SYSTEM, AND METHOD FOR DEVELOPING FAILURE PREDICTION SOFTWARE, together with the drawings, a Declaration, Power of Attorney, and Petition, an Assignment and Recordation Form Cover Sheet, Information Disclosure Statement, PTO Form 1449, and Copies of Cited References are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above in an envelope addressed to Box Patent Application, Assistant Commissioner for Patents, Washington, D.C. 20231.

Respectfully submitted,



Brian C. Kunzler
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Attorney for Applicant

Date: August 20, 2003

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